



# Interest Disclosure Form

## 1 Personal Information

First Name		Last Name	
Title or Position			
Member of Penta Board of Directors	Yes / No	Since	dd-mmm-yyyy
Penta Staff Member	Yes / No	Since	dd-mmm-yyyy

## 2 Employment and Consulting

Have you or any Associated Person\*, within the past year, received a remuneration as employee or consultant, exceeding EUR 5.000 from an external entity with an interest related to the subject matter of your work at Penta?

*If you respond "Yes" to the question above, please provide the additional information below*

\*: "Associated Person" shall mean, in relation to a Penta member: immediate family members and emotional ties (spouse, parents, children, siblings, mother-, father-, brothers- and sisters-in-law and anyone who shares his/her home)

## 3 Research Support

Have you or any Associated Person, within the past year, received support from an external entity or with an interest related to the subject matter of your work at Penta?

Research support, including grants, collaborations, sponsorships, other funding	Yes / No
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Non-monetary support valued at more than EUR 500 overall within the past year (including equipment, facilities, paid travels, etc.)	Yes / No
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*If you have responded "Yes" to any of the questions above, please provide the additional information requested below*



## 4 Investment Interests

Do you, or any Associated Person, have current investments (valued at more than EUR 5.000**) in a commercial entity with an interest related to the subject matter of your work at Penta	
Stock, bonds, stock options, other securities	Yes / No
Commercial business interests (e.g., JV, partnerships)	Yes / No
<i>If you have responded "Yes" to any of the questions above, please provide the additional information requested below</i>	

\*\* : value of the stock in non-publicly traded entities at the last sale price recognized by the entity.

## 5 Intellectual Property

Do you, or any Associated Person, have any current intellectual property rights that might be enhanced or diminished by the outcome of your work at Penta?	
Patents, trademarks or copyrights	Yes / No
Property know-how in a substance, technology or process	Yes / No
<i>If you have responded "Yes" to any of the questions above, please provide the additional information requested below</i>	

## 6 Additional Information

Do you or an Associated Person hold an office or other position, paid or unpaid, where you may be expected to represent interests or defend a position related to the subject matter of your work at Penta?	Yes / No
If not already disclosed above, do you or an Associated Person work for a competitor of a product which is the subject of the meeting or work, or will your participation in the meeting, event or work enable you to obtain	Yes / No



access to a competitor’s confidential proprietary information, or create for you a personal, professional financial or business competitive advantage?	
To your knowledge, would the outcome of work benefit or adversely affect interests of Associated Persons?	Yes / No
Is there any other aspect of your background or present circumstances not addressed above that might be perceived as affecting your objectivity or independence?	Yes / No
<i>If you have responded “Yes” to any of the questions above, please provide the additional information requested below</i>	

## 7 Declaration

I hereby declare to have read the Penta “Conflict of Interest” Policy and that the disclosed information is true and complete to the best of my knowledge.

Should there, at any time during my participation in Penta, be any change to the above information, I will promptly notify the responsible person of Penta and complete a new declaration of interests which describes the changes.

I hereby agree to be bound by the terms of Penta “Conflict of Interest” Policy.

Full Name

Date: \_\_\_\_\_

\_\_\_\_\_

Signature

\_\_\_\_\_